

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SERIAL NO. 09/996,131

IBM DOCKET NO. AUS920010638US1

DATE: August 1, 2005

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SEND TO: United States Patent Office

Examiner: Wong, Leslie

Group Art Unit: 2167

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Docket No. AUS920010638US1

Serial No. 09/996,131

Atty: JSL / JVL

Applicant: Sweitzer, et al.

☒ Transmittal Letter (2 copies)

☒ Certificate of Facsimile

☐ Amendment (      pages)

☐ Notice of Appeal

☐ Amendment AF

☐ Appeal Brief (3 copies)

☐ Ext. of Time

☐ Reply Brief

☒ IDS Statement

☐ Change of Address

☒ Form PTO-1449 (Modified)

☒ Other (Company Profile GeoSolutions Consulting - 20 pages)

Deposit Acct. No. 09-0447

Fees: Amendment          Notice of Appeal          Appeal Brief          Other \$ 180.00

Atty Ref. No. 1038

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**SERIAL NO. 09/996,131**

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DATE: August 1, 2005

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 Leslie A. Van Leeuwen	<u>8/1/05</u> Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Sweitzer, et al.

For: System and Method for Developing Topography Based Management Systems

Enclosed are:

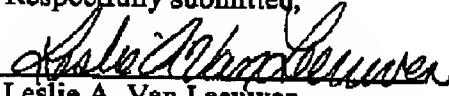
- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 6 references;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.97(c) and:
  - ☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and
  - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By

  
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Atty Ref. No. 1038

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IBM DOCKET NO. AUS920010638US1

DATE: August 1, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Group Art Unit: 2167
Sweitzer, et. al.	:	Intellectual Property Law Department
Serial No.: 09/996,131	:	International Business
Filed: November 28, 2001	:	Machines Corporation
Title: <u>System and Method for Developing</u>	:	Intellectual Property Law Dept.
<u>Topography Based Management</u>	:	11400 Burnet Road
<u>Systems</u>	:	Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By



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Page 1 of 1

Atty Ref. No. 1038

In Place of FORM PTO-1449 (Modified)

Serial No. 09/996,131Applicant: Sweltzer, et. al.Filing Date: 11/28/2001Group: 2167Atty. Docket No. AUS920010638US1**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT****U. S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Classification Class / Subclass	Filing Date if Appropriate
AA	6,772,216	08-2004	Ankireddipally et al.	709/230	
AB	6,377,934	04-2002	Chen et al.	705/10	
AC	2002/0133368	09-2002	Strutt et al.	705/1	
AD	2002/0108099	08-2002	Paclat, Charles	717/102	
AE	2002/0116389	08-2002	Chen et al.	707/103.00R	
AF					
AG					
AH					
AI					
AJ					
AK					
AL					

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Classification	Translation Yes No
AM					
AN					
AO					
AP					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial	Document Number	Date	Country	Classification	Translation Yes No
AQ	GeoSolutions Consulting, "Company Profile," September 2001, 20 pages				
AR					
AS					
AT					
AU					

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.